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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/611,562	07/07/2000	Masahiro Kobayashi	JCLA6244	8123

7590                    03/13/2002

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EXAMINER

DUONG, THO V

ART UNIT

PAPER NUMBER

3743

DATE MAILED: 03/13/2002

Please find below and/or attached an Office communication concerning this application or proceeding.

as long as  $n > 2$   
the equation ~~simplified~~

## Office Action Summary

Application No.	KOBAYASHI ET AL.
09/611,562	
Examiner	Art Unit
Tho V Duong	3743

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM  
THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).
- Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) Responsive to communication(s) filed on 11 February 2002.
- 2a) This action is FINAL.                  2b) This action is non-final.
- 3) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) Claim(s) 1-5 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) Claim(s) \_\_\_\_\_ is/are allowed.
- 6) Claim(s) 1-5 is/are rejected.
- 7) Claim(s) \_\_\_\_\_ is/are objected to.
- 8) Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) The specification is objected to by the Examiner.
- 10) The drawing(s) filed on \_\_\_\_\_ is/are: a) accepted or b) objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- 11) The proposed drawing correction filed on \_\_\_\_\_ is: a) approved b) disapproved by the Examiner.  
If approved, corrected drawings are required in reply to this Office action.
- 12) The oath or declaration is objected to by the Examiner.

### Priority under 35 U.S.C. §§ 119 and 120

- 13) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) All    b) Some \* c) None of:  
1. Certified copies of the priority documents have been received.  
2. Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.
- 14) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. § 119(e) (to a provisional application).  
a) The translation of the foreign language provisional application has been received.
- 15) Acknowledgment is made of a claim for domestic priority under 35 U.S.C. §§ 120 and/or 121.

### Attachment(s)

- 1) Notice of References Cited (PTO-892)                  4) Interview Summary (PTO-413) Paper No(s). \_\_\_\_\_.  
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)                  5) Notice of Informal Patent Application (PTO-152)  
3) Information Disclosure Statement(s) (PTO-1449) Paper No(s) \_\_\_\_\_.                  6) Other:

## DETAILED ACTION

### *Specification*

The specification is objected to as failing to provide proper antecedent basis for the claimed subject matter. See 37 CFR 1.75(d)(1) and MPEP § 608.01(o). Correction of the following is required: the claimed subject matter of “a cut profile of each of the two slits... is parallel to the air flow” in claims 2-5 is not described in the specification. Though, the figure 5 may discloses the claimed subject matter, it needs to be described in the specification as well. The claimed subject matter of “...there is a mutually different length in a direction perpendicular to the air flow...” in claim 2-4 is not disclosed in the specification.

### *Claim Rejections - 35 USC § 112*

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claim 1 is rejected under 35 U.S.C. 112, first paragraph, as containing subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. The claimed subject matter of “N = the number of slit arrays formed on said heat transfer fin/number of heat transfer fin unit, and wherein N is equal to or less than 6” is not described in the specification. It

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only appears in the specification that there are 6 slits or less per width of one fin array but not the claimed subject matter of N is equal to or less than 6.

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

Claims 2-4 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention. The claimed subject matter of "...there is a mutually different length in a direction perpendicular to the air flow..." is not understood to the examiner for the length of what is mutually different.

#### ***Claim Rejections - 35 USC § 102***

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claim 5 is rejected under 35 U.S.C. 102(b) as being anticipated by Kang et al. (US 5,755,281). Kang discloses (figures 10) a heat exchanger comprising heat transfer coils (30) penetrate through a row of multiple plate-shaped heat transfer fins (20); air (A) is supplied orthogonally to the heat transfer coil; a plurality of slit arrays formed on the heat transfer fin wherein one of the slit array (3 slits) formed on an edge of the heat transfer fin is partitioned into slits of different length and the partitioned positions are staggered on each of the two edges. Kang further discloses that the partition profile of

each of the two slits formed in front and behind the heat transfer coil is parallel to the air flow (A).

### ***Claim Rejections - 35 USC § 103***

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

Claims 2-4 are rejected under 35 U.S.C. 103(a) as obvious over Yokoyama (US 6,227,289). Yokoyama discloses (Figures 4a, 6a and column 3, lines 2-6) a heat exchanger in which heat transfer coils (13) penetrate through a row of multiple plate shaped heat transfer fins (11) in which air is supplied orthogonally to the coils; slits (35,36) formed on each plate heat transfer fin (11); partitions of each of the two slits formed in front and behind of the heat transfer coil is parallel to the air flow (B); and a width ( $W_f$ ) of each slit is equal to 1/3 the spacing ( $W_b$ ) between two slits. Yokoyama further discloses (figure 4a) that the dimensional relationship between the width of each spacing ( $W_b$ ) and the coil's diameter is about 0.5. Consequently, the width of slit ( $W_f$ ) is about 0.166 times the coil's diameter. Though the ratio 0.166 is not within the claimed range of 0.17-0.29, the difference between 0.166 and 0.17 is too small to make any significant different in the heat transfer effect of the heat exchanger. Therefore, the ratio 0.166 is considered to be readable on the claimed range.

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***Conclusion***

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

Jung et al. (US 6,026,893) discloses a fin type heat exchanger having slits formed therein.

Any inquiry concerning this communication or earlier communication from the examiner should be directed to Tho Duong whose telephone number is (703)305-0768. The examiner can normally be reached on from 8:30 AM to 5 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Henry Bennet, can be reached on (703)308-0101. The fax phone number for the organization where this application or proceeding is assigned is (703)308-7764.

Any inquiry of a general nature or relating to status of this application or proceeding should be directed to the receptionist whose telephone number is (703)308-0861.

Tho Duong

March 8, 2002.

Henry Bennett  
Supervisory Patent Examiner  
Group 3700

